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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/511,465	02/07/2005	Matthew H T Bui	306J-000220US	4663	
20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER			EXAM	EXAMINER	
			HARRIS, ALANA M		
EIGHTH FLO SAN FRANCI	OR SCO, CA 94111-3834		ART UNIT	PAPER NUMBER	
			1643		
			MAIL DATE	DELIVERY MODE	
			09/04/2008	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Application No. Applicant(s) 10/511.465 BUI ET AL. Office Action Summary Examiner Art Unit Alana M. Harris, Ph.D. 1643 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 12 June 2008. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1.4.5.7-23 and 26 is/are pending in the application. 4a) Of the above claim(s) 4 is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1, 5, 7-23 and 26 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received.

1) Notice of References Cited (PTO-892)

Attachment(s)

4) Interview Summary (PTO-413)

Application/Control Number: 10/511,465 Page 2

Art Unit: 1643

#### DETAILED ACTION

# Response to Amendments and Arguments

1. Claims 1, 4, 5, 7-24 and 26 are pending.

Claim 4, drawn to non-elected inventions are withdrawn from examination.

Claims 1 and 14 have been amended.

Claims 2, 3, 6, 24 and 25 have been cancelled.

Claim 26 has been added.

Claims 1, 5, 7-23 and 26 to the extent the CAIX is a polypeptide are examined on the merits.

# Withdrawn Rejections

# Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

- The rejection of claims 14-23 under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement is withdrawn in light of Applicants' amendment to claim 14.
- 4. The rejection of claims 1-3, 6-14 and 16-23 under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention is withdrawn.

Application/Control Number: 10/511,465 Page 3

Art Unit: 1643

# Claim Rejections - 35 USC § 102

5. The rejection of claims 1, 5-14 and 16-23 under 35 U.S.C. 102(b) as being anticipated by Zisman et al. (Journal of Clinical Oncology 19(6): 1649-1657, March 15, 2001/ IDS reference 83 submitted March 31, 2005) is withdrawn because Zisman does not disclose immunohistochemical analysis. Claims 2, 3 and 6 have been cancelled.

## Claim Rejections - 35 USC § 103

6. The rejection of claims 1, 5 and 7-23 under 35 U.S.C. 103(a) as being unpatentable over Zisman et al. (Journal of Clinical Oncology 19(6): 1649-1657, March 15, 2001/ IDS reference 83 submitted March 31, 2005), and further in view of U.S. Patent number 5,955,075 (issued September 21, 1999/ IDS reference 11 submitted March 31, 2005) is withdrawn in light of Applicants' amendments. Claims 2, 3 and 6 have been cancelled.

Art Unit: 1643

### Maintained and New Rejections

### Claim Rejections - 35 USC § 112

7. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

 The rejection of claims 1, 5 and 7-13 under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement is maintained. Claims 2, 3 and 6 have been cancelled.

Applicants arguments directed toward the instant rejection are improperly set forth under 35 U.S.C. 112, second paragraph statute, see page 11 of the Remarks. However, arguments directed toward the instant rejection were considered and found unpersuasive. Applicants aver with the amendments to the base claims, the instant rejection should be withdrawn. Applicants argue that have done more than the law requires and they were in possession of the claimed subject matter, see page 11 and 12. As noted earlier in this paragraph, Applicants' arguments and amendments have been carefully considered, but found unpersuasive.

Applicants have amended base claim 14 to reflect CAIX corresponds to SEQ ID NO: 2, but that amendment has not been presented in base claim 1. The recitations, "human" and "protein" do not help Applicants' preclude the instant rejection. The recitation, "human carbonic anhydrase IX (CAIX) protein" is not a limiting phrase. This terminology still reads on a CAIX molecule which may be a variant or mutant of SEQ ID

Page 5

Application/Control Number: 10/511,465

Art Unit: 1643

NO: 2. Furthermore, the claims do not set forth human CAIX corresponds to SEQ ID

NO: 2. For the reasons of record and set forth above the rejection is maintained.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States

10. The rejection of claims 1, 5, 7-11, 14-16, 18-23 and newly added claim 26 rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent number 5,955,075 (issued September 21, 1999/ IDS reference 11 submitted March 31, 2005) is maintained and newly made. Claims 2, 3 and 6 have been cancelled.

Applicants assert the patent teaches away from the claimed invention and cites a reference, Ivanov separate and distinct from the instant rejection, see Remarks submitted June 12, 2008, bridging paragraph of pages 14 and 15. These arguments and points of view listed herein and in the Remarks have been carefully considered, but found unpersuasive.

The patent discloses a method of quantitating MN antigen also art known as CAIX located in a patient sample implementing an immunoassay, such as immunohistochemical assays, ELISAS or fluorometric assays, see sequence alignment at conclusion of this rejection: column 5. lines 49-column 6. line 3: column 6. lines 47-

54; column 36, line 44-column 39, line 35; and Example 13 starting in column 55.

Applicants' claims set forth one active step listed in claim 1 (a), quantifying by immunohistochemical staining or immunoassay expressed human CAIX protein and hence reads on a method of aiding in RCC prognosis and correlating the expression data with a probability of RCC prognosis, wherein the quantified CAIX expression data comprises the claimed quantification percentages and correlates with positive responses. For the reasons of record and further established herein the rejection is maintained and made.

```
RESULT 1
US-08-481-658B-2
; Sequence 2, Application US/08481658B
; Patent No. 5955075
: GENERAL INFORMATION:
   APPLICANT: Zavada, Jan
APPLICANT: Pastorekova, Silvia
APPLICANT: Pastorek, Jaromir
    TITLE OF INVENTION: MN Gene and Protein
    NUMBER OF SEQUENCES: 86
   CORRESPONDENCE ADDRESS:
    ADDRESSEE: Leona L. Lauder
     STREET: 6 Mariposa Court
CITY: Tiburon
     STATE: California
     COUNTRY: USA
ZIP: 94920
; COMPUTER READABLE FORM:
    MEDIUM TYPE: Floppy disk
      COMPUTER: IBM PC compatible
     OPERATING SYSTEM: PC-DOS/MS-DOS
      SOFTWARE: PatentIn Release #1.0, Version #1.30 (EPO)
  CURRENT APPLICATION DATA:
      APPLICATION NUMBER: US/08/481,658B
      FILING DATE: 07-JUN-1995
      CLASSIFICATION: 424
    PRIOR APPLICATION DATA:
      APPLICATION NUMBER: US 08/260,190
      FILING DATE: 15-JUN-1994
   ATTORNEY/AGENT INFORMATION:
       NAME: Lauder, Leona L.
      REGISTRATION NUMBER: 30,863
      REFERENCE/DOCKET NUMBER: D-0021.3E
    TELECOMMUNICATION INFORMATION:
        TELEPHONE: 415-435-2034
      TELEFAX: 415-435-0727
; INFORMATION FOR SEQ ID NO: 2:
    SEQUENCE CHARACTERISTICS:
     LENGTH: 459 amino acids
     TYPE: amino acid
STRANDEDNESS:
TOPOLOGY: linear
; MOLECULE TYPE: protein
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```
DESCRIPTION: First 37 amino acids represent
       DESCRIPTION: signal peptide, and remaining amino acids DESCRIPTION: represent mature protein
US-08-481-658B-2
 Query Match 100.0%; Score 2424; DB 1; Length 459; Best Local Similarity 100.0%; Pred. No. 2.7e-196; Matches 459; Conservative 0; Mismatches 0; Indels 0
             1 MAPLCPSPWLPLLIPAPAPGLTVQLLLSLLLLMPVHPQRLPRMQEDSPLGGGSSGEDDPL 60
             1 MAPLCPSPWLP1L1PAPAPGLTVQLLLSLLLLMPVHPQRLPRMQEDSPLGGGSSGEDDPL 60
            61 GEEDLPSEEDSPREEDPPGEEDLPGEEDLPGEEDLPEVKPKSEEEGSLKLEDLPTVEAPG 120
            61 GEEDLPSEEDSPREEDPPGEEDLPGEEDLPGEEDLPEVKPKSEEEGSLKLEDLPTVEAPG 120
           121 DPQEPQNNAHRDKEGDDQSHWRYGGDPPWPRVSPACAGRFQSPVDIRPQLAAFCPALRPL 180
           121 DPOEPONNAHRDKEGDDOSHWRYGGDPPWPRVSPACAGRFOSPVDIRPOLAAFCPALRPL 180
           181 ELLGFOLPPLPELRLRNNGHSVOLTLPPGLEMALGPGREYRALOLHLHWGAAGRPGSEHT 240
           181 ELLGFOLPPLPELRLRNNGHSVOLTLPPGLEMALGPGREYRALOLHLHWGAAGRPGSEHT 240
           241 VEGHRFPAETHVVHLSTAFARVDEALGRPGGLAVLAAFLEEGPEENSAYEOLLSRLEETA 300
           241 VEGHRFPAEIHVVHLSTAFARVDEALGRPGGLAVLAAFLEEGPEENSAYEQLLSRLEEIA 300
           301 EEGSETQVPGLDISALLPSDFSRYFQYEGSLTTPPCAQGVIWTVFNQTVMLSAKQLHTLS 360
           301 EEGSETQVPGLDISALLPSDFSRYFQYEGSLTTPPCAQGVIWTVFNQTVMLSAKQLHTLS 360
           361 DTLWGPGDSRLQLNFRATQPLNGRVIEASFPAGVDSSPRAAEPVQLNSCLAAGDILALVF 420
           361 DTLWGPGDSRLQLNFRATQPLNGRVIEASFPAGVDSSPRAAEPVQLNSCLAAGDILALVF 420
Qу
           421 GLLFAVTSVAFLVOMRROHRRGTKGGVSYRPAEVAETGA 459
           421 GLLFAVTSVAFLVQMRRQHRRGTKGGVSYRPAEVAETGA 459
```

11. Claims 1, 5, 7-11, 14-16, 18-23 and newly added claim 26 are rejected under 35 U.S.C. 102(b) as being anticipated by WO 95/34650 (published December 21, 1995). The WO document discloses a method of quantitating MN antigen also art known as CAIX located in a patient sample implementing an immunoassay, such as immunohistochemical assays, ELISAS or fluorometric assays, see sequence alignment at conclusion of this rejection; abstract; page 10, line 22-page 11, line 4; page 52, line 26-page 55, line 13. Applicants' claims set forth one active step listed in claim 1 (a), quantifying by immunohistochemical staining or immunoassay expressed human CAIX protein and hence reads on a method of aiding in RCC prognosis and correlating the expression data with a probability of RCC prognosis, wherein the quantified CAIX expression data comprises the claimed quantification percentages and correlates with positive responses. For the reasons of record and further established herein the rejection is maintained and made.

#### RESULT 1

```
AAR88058 standard; protein; 459 AA.
XX
AC
    AAR88058;
XX
    15-JUN-2007 (revised)
    25-MAR-2003 (revised)
    25-JUL-1996 (first entry)
XX
DE
    Protein encoded by MuTu putative oncogene MN.
XX
KW
    MuTu; endogenous; cellular component; MN; HeLa cell; diagnosis;
    lymphocytic choriomeningitis virus; LCMV; putative oncogene; treatment;
KW
    neoplastic; pre-neoplastic; disease; antisense therapy; antibody;
KW
    vaccine; vertebrate; immunisation; carbonic anhydrase; BOND PC;
KW
    carbonic anhydrase IX; carbonic anhydrase IX precursor;
KW
    carbonic dehydratase; RCC-associated protein G250;
KW
    carbonic anhydrase IX precursor [Homo sapiens]; CA9; MN; CAIX;
    Carbonic anhydrase IX [Homo sapiens]; p54/58N; p54/58N [Homo sapiens];
KW
   renal cell carcinoma associated antigen G250; carbonic anhydrase;
    renal cell carcinoma associated antigen G250 [Homo sapiens];
KW
    carbonic anhydrase IX [synthetic construct]; GO2009; GO4089; GO5634;
KW
   G06730; G08270; G016020; G016021; G016829; G046872; G046903.
OS
    Homo sapiens.
```

#### Art Unit: 1643

```
Location/Qualifiers
     Peptide
                     /label= sig peptide
     Peptide
                     /note= "anti-MN antibody epitope"
     Region
                     38. .135
                     /note= "region homologous to collagen alpha 1 chain"
                     /note= "anti-MN antibody epitope"
     Peptide
                     62. .67
                     /note= "anti-MN antibody epitope"
     Peptide
                     68. .91
                     /note= "anti-MN antibody epitope"
     Peptide
                     127. .147
                     /note= "anti-MN antibody epitope"
                     /note= "carbonic anhydrase domain"
     Pentide
                     /note= "anti-MN antibody epitope"
     Region
                     414. .433
                     /note= "intracellular transmembrane region"
     Region
                     434. .459
                     /note= "intracellular C-terminus"
     Peptide
                     435. .450
                     /note= "anti-MN antibody epitope"
XX
PN
     W09534650-A2.
YY
PA
     (CIBA ) CIBA CORNING DIAGNOSTICS CORP.
     (VIRO-) INST VIROLOGY.
DA
XX
     Zavada J, Pastorekova S, Pastorek J;
XX
DR
     WPI: 1996-049679/05.
     N-PSDB; AAT09186.
     PC:NCBT: a19955948.
     PC:SWISSPROT; Q16790.
     MN gene, protein and nucleic acid fragments - used as primers and probes
     in the detection of MN antigens and antibodies, and in the treatment of
     (pre)neoplastic disease.
XX
     Claim 12; Fig 1; 102pp; English.
XX
     The present sequence is encoded by the full length MuTu endogenous
     cellular component, MN, cDNA clone, which was isolated from lymphocytic
     choriomeningitis virus (LCMV) infected HeLa cells. Persistent LCMV, the
     exogenous MuTu transmissible agent (MX), infection increases the
     expression level of the MN gene. MN is a putative oncogene, and can
     therefore be used in the development of prods. for the diagnosis and
     treatment of neoplastic (NP), or pre-NP diseases. NP diseases can be
     treated using DNA antisense to MN transcribed mRNA, anti-MN protein
     antibodies can be used for the diagnosis NP or pre-NP diseases and a
     vaccine contg. immunogenic amounts of the MN protein can be used to
     immunise a vertebrate against a NP disease associated with MN antigen
     expression. (Updated on 25-MAR-2003 to correct PR field.)
     Revised record issued on 15-JUN-2007 : Enhanced with precomputed
     information from BOND.
XX
    Sequence 459 AA;
  Query Match 100.0%; Score 2424; DB 2; Length 459; Best Local Similarity 100.0%; Pred. No. 3.9e-182;
 Matches 459; Conservative 0; Mismatches 0; Indels
                                                                0; Gaps
```

```
1 MAPLCPSPWLPLLIPAPAPGLTVOLLLSLLLLMPVHPORLPRMOEDSPLGGGSSGEDDPL 60
           61 GEEDLPSEEDSPREEDPPGEEDLPGEEDLPGEEDLPEVKPKSEEEGSLKLEDLPTVEAPG 120
           61 GEEDLPSEEDSPREEDPPGEEDLPGEEDLPGEEDLPEVKPKSEEEGSLKLEDLPTVEAPG 120
Οv
          121 DPQEPQNNAHRDKEGDDQSHWRYGGDPPWPRVSPACAGRFQSPVDIRPQLAAFCPALRPL 180
          121 DPQEPQNNAHRDKEGDDQSHWRYGGDPPWPRVSPACAGRFQSPVDIRPQLAAFCPALRPL 180
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          181 ELLGFQLPPLPELRLRNNGHSVQLTLPPGLEMALGPGREYRALQLHLHWGAAGRPGSEHT 240
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          241 VEGHRFPAETHVVHLSTAFARVDEALGRPGGLAVLAAFLEEGPEENSAYEQLLSRLEETA 300
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          301 EEGSETOVPGLDISALLPSDFSRYFOYEGSLTTPPCAGGVIWTVFNOTVMLSAKOLHTLS 360
          361 DTLWGPGDSRLOLNFRATOPLNGRVIEASFPAGVDSSPRAAEPVOLNSCLAAGDILALVF 420
          361 DTLWGPGDSRLOLNFRATOPLNGRVIEASFPAGVDSSPRAAEPVOLNSCLAAGDILALVF 420
          421 GLLFAVTSVAFLVQMRRQHRRGTKGGVSYRPAEVAETGA 459
          421 GLLFAVTSVAFLVOMRROHRRGTKGGVSYRPAEVAETGA 459
```

#### Claim Rejections - 35 USC § 103

- 12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 13. The rejection of claims 1, 5, 7-23 and newly added claim 26 under 35
  U.S.C. 103(a) as being unpatentable over U.S. Patent number 5,955,075 (issued
  September 21, 1999/ IDS reference 11 submitted March 31, 2005), and further in view of Zisman et al. (Journal of Clinical Oncology 19(6): 1649-1657, March 15, 2001/ IDS reference 83 submitted March 31, 2005) is maintained and made. Claims 2, 3 and 6 have been cancelled.

Art Unit: 1643

Applicants did not traverse this rejection, see entire Remarks submitted June 12, 2008. Nonetheless, the rejection is maintained for the reasons of record and further established in the 102(b) rejection.

14. Claims 1, 5, 7-23 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over WO 95/34650 (published December 21, 1995), and further in view of Zisman et al. (Journal of Clinical Oncology 19(6): 1649-1657, March 15, 2001/ IDS reference 83 submitted March 31, 2005). The teachings of the document have been presented above. The document does not teach the quantified CAIX expression data in a computer-readable form, wherein there is a programmable computer with a database and an algorithm.

However, Zisman teaches a method of quantifying expressed CAIX in samples from patients with RCC and the data and characteristics of this evaluated population using Stata statistical software, see page 1650, 2nd column, last paragraph of Survival...section and Results. The disclosed system allows one of ordinary skill in the art to prognosticate and determine survival differences imposed by different histologic types of RCC, see page 1657. It would have been *prima facie* obvious to one of ordinary skill in the art at the time the claimed invention was made to implement the computer based program of Zisman. One of ordinary skill in the art would have been motivated to do so with a reasonable expectation of success by teachings in both documents that CAIX is a diagnostic marker for tumorigenicity and is prognostic for neoplastic/pre-neoplastic disease, as well as the system of Zisman stratifies and

Art Unit: 1643

analyzes data for discriminating patient prognosis, see patent, column 1, lines 15-25; and column 2, lines 1-9; and the entire Zisman article.

#### Conclusion

15. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alana M. Harris, Ph.D. whose telephone number is (571)272-0831. The Examiner works a flexible schedule, however she can normally be reached between the hours of 7:30 am to 6:30 pm, with alternate Fridays off.

Art Unit: 1643

If attempts to reach the Examiner by telephone are unsuccessful, the examiner's supervisor, Larry R. Helms, Ph.D. can be reached on (571) 272-0832. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Alana M. Harris, Ph.D. 02 September 2008 /Alana M. Harris, Ph.D./

Primary Examiner, Art Unit 1643